



**Jersey Financial
Services Commission
Registry**

REGISTERED TRUST COMPANY BUSINESS

**Application for JFSC consent to made pursuant to the provisions
Article 10 and 11 of the Control of Borrowing (Jersey) Order 1958, as amended**

**This application form also refers to requirements set out in the Money
Laundering (Jersey) Order 2008 with guidance in the AML/CFT Handbook
for regulated financial services business, which should be used
when seeking clarification of those requirements.**

Details of proposed partnership	For Official Use Only
1 Name of Proposed Partnership <input type="text"/>	
2 Total amount to be invested <input type="text"/>	

The Jersey Financial Services Commission (the Commission) will process the personal data you send us together with other information, which comes from or relates to you, to discharge its functions effectively. The Commission may process personal data in order to verify the information in this application. Processing includes obtaining, keeping, altering, disclosing and deleting personal data. Specifically, the Commission may disclose information relating to beneficial ownership information that you or your agent has provided in this form. This personal information may be shared with other parties, including the Jersey Financial Crimes Unit as well as the UK law enforcement and tax authorities in accordance with the Exchange of Notes between the Government of the United Kingdom and the Government of Jersey dated 13/4/2016. If you are submitting personal information online, we will keep information on your activity, including your visits to our site. You have the right to ask for a copy of the personal data the Commission holds on you. You also have the right to have inaccuracies corrected. Please contact the Data Protection Officer, Jersey Financial Services Commission, PO Box 267, 14-18 Castle Street, St Helier, Jersey, JE4 8TP if you require assistance.

*1 Jersey addresses only



Activity

For Official Use Only

1 The Sound Business Practice Policy ("SBPP") sets out the principles regarding the activities that the Commission considers sensitive. If the Partnership, subsidiary, affiliate or associated vehicle falls within SBPP tables 1 or 2, the activity will be deemed sensitive (whether or not an exemption may be relied upon).

1.1 The Partnership

a) Activity will not be caught within the remit of the SBPP

☐

b) Activity 1-6 of Table 1 of the SBPP

☐

c) Activity 7 of Table 1 of the SBPP

☐

d) Table 2

☐

1.2 Will the activity of any subsidiary, affiliate or associated vehicle be caught within the remit of the SBPP?

If Yes, complete and identify which part of the SBPP applies by ticking the applicable box(es) (a) – (c) below and by completing the additional details table. The Registry reserves the right to request provision of a structure chart to aid understanding.

a) Activity 1-6 of Table 1 of the SBPP

☐

b) Activity 7 of Table 1 of the SBPP

☐

c) Table 2

☐

Additional details of the proposed subsidiary, affiliate or associated vehicle.

Name of subsidiary, affiliate or associated vehicle	Jurisdiction where registered	Activity	Activity table (i.e. 1-6, 7 or Table 2)

Activity code

Where section 1.1(a) has been completed, go to section 2 of 'Activity'
Where section 1.1(b), (c) or (d) has been completed, go to section 3 of 'Activity'



--	--	--	--	--	--	--	--	--	--

Activity

For Official Use Only

2* Where the activity of the Registering Partnership is not caught within the remit of the SBPP, identify the activity by ticking the applicable activity listed in sections 2.1 to 2.7

2.1 Holding real estate ☐ Commercial ☐ Residential ☐

Country where real estate is situated (if known)

--

2.2 Holding a self-administered pension plan

☐

2.3 Holding a moveable asset

☐

2.4 Conducting a local non-sensitive trade

☐

2.5 Conducting an international non-sensitive trade

☐

2.6 Consulting (please provide details of the type of services to be provided at 2.8 below)

☐

2.7 Owning intellectual property rights

☐

2.8 Other (please give details below)

--

Activity code

Activity
For Official Use Only

Table 1 activity 1-6: complete applicable sections 3-5 of 'Activity'
Table 1 activity 7 and Table 2 activities: complete section 6 of 'Activity'

3 Holding Vehicle of Regulated Entity(ies)

Is the Partnership a holding vehicle of regulated entity(ies)? Yes ☐ No ☐

If Yes, please provide full details of the entity(ies) to be held to include activities and regulatory bodies. An organization structure chart containing the activities and regulatory bodies (if any) of each underlying entity should also be attached to this application

4 Funds

4.1 Is the Partnership proposing to be a fund? Yes ☐ No ☐

If Yes, complete all questions in section 4, **If No**, go to section 5

4.2 If so, which type of fund is the partnership proposing to be?

4.2.1 a Jersey 'unregulated fund' (within the meaning of the Collective Investment Funds (Unregulated Funds) (Jersey) Order 2008); Confirmed ☐

4.2.2 a 'Jersey private fund' (50 or fewer offers/investors) (within the meaning of the Commission's Jersey Private Fund (JPF) Guide); or Confirmed ☐

4.2.3 a Jersey 'collective investment fund' (over 50 offers/investors) (within the meaning of the Collective Investment Funds (Jersey) Law 1988). Confirmed ☐

4.2.4 a Jersey 'alternative investment fund' (within the meaning of the Alternative Investment Funds (Jersey) Regulations 2012). Confirmed ☐

4.3 Where either 4.2.2, 4.2.3 and/or 4.2.4 applies, please confirm that all necessary approvals and/ or consents will be sought in due course from the Commission and, that if for any reason the relevant permit, certificate, registration or consent requested is not forthcoming, the Partnership will be dissolved then cancelled in a timescale to be agreed with the Commission. Confirmed ☐

5 Financial Service Business (as defined by the Financial Services (Jersey) Law 1998)

5.1 Is the Partnership proposing to conduct Financial Service Business? Yes ☐ No ☐

If Yes, complete all questions in section 5, **If No**, go to section 6

5.2 I/We confirm that the Partnership will be conducting one or more of:

☐ Investment Business ☐ Trust Company Business ☐ Money Service Business
☐ General Insurance Mediation Business ☐ AIF Services Business ☐ Fund Services Business

5.3 Is the Partnership seeking to rely on any regulatory exemptions in respect of such Financial Service Business?

If No, I/We confirm that all necessary approvals and/or consents will be sought in due course from the Commission and, that if for any reason the relevant permit, certificate, registration or consent requested is not forthcoming, the Partnership will be dissolved then cancelled in a timescale to be agreed with the Commission.

Confirmed ☐

5.4 **If Yes** to 5.1, is the Partnership proposing to provide services to a Jersey private or Jersey public fund? (Jersey private fund / Jersey public fund)¹² Yes ☐ No ☐

5.5 **If Yes** to 5.1, is the Partnership proposing to provide services to a non-Jersey private or non-Jersey public fund? (non-Jersey private fund / non-Jersey public fund)¹² Yes ☐ No ☐



--	--	--	--	--	--	--	--

Activity

For Official Use Only

6 Description of activity

--

7 All other SBPP activities

Please provide full details if the proposed partnership does not fall within 3 to 5.

--



Higher Risk Factors

For Official Use Only

Higher Risk Factors

Please confirm that, in completing customer due diligence ("CDD"), due regard has been given to the following:

- ☐ Corruption (Jersey) Law 2006
- ☐ Sanctions
- ☐ Proliferation and Proliferation Financing Guidance
- ☐ AML/CFT Handbook in particular Part 1, Section 3 - CDD Requirements and Appendix D1 and D2.

Detail below any higher risk factors identified in the CDD or EDD process (as applicable) undertaken as part of this application, having particular regard to Article 15 of the MLO (e.g. is the ultimate beneficial owner connected to an enhanced risk state?).

Declaration

To the best of our knowledge, belief and understanding, I/we declare that the information given in this Application Form and supporting documentation is complete and correct at the time of application, that there are no material facts of which the Commission should be aware, that all data and information has been gathered in accordance with the AML/CFT Handbook, and that I/we are aware of the penalties contained in the Control of Borrowing (Jersey) Law 1947, as amended, for providing false or misleading information.

We understand that under no circumstances does the provision of information in this application exonerate the Partnership from its duties and responsibilities set out in relevant and applicable legislation and associated requirements.

TCB number

I/We also declare that I/We am/are signing for and on behalf of:

an entity authorised to conduct by way of business, trust company business as defined in Article 2(4)(a) of the Financial Services (Jersey) Law 1998. My/Our position within the authorised entity is:

Director / Authorised signatory

Director / Authorised signatory

and, in line with the authorised entity's internal procedures, I/we am/are entitled to sign this document.

Full name

Full name

Signature

Signature

Date of birth

Date of birth