

Guidance Note

Validation and verification of the '9.10 Directors Interests' Sheet

Relevant to the JFSC's prudential reporting requirements of JIBs

Issued: March 2019

1 Overview

General points

- 1.1 The validation and verification checks performed on submission are set out herein.
- 1.2 Excel data validation in the template is limited as follows:
 - 1.2.1 For monetary amounts, cells have been restricted so that only integers in the range -1,000,000,000 to +1,000,000,000 can be entered, unless otherwise noted. As the unit is £1,000, this means that values of +/- £1 trillion are permitted.
 - 1.2.2 Excel only checks validation on direct input and/or if manually requested so it should not be considered to be a failsafe – *JIBs* should check their data and the *JFSC* will check the data on submission.
 - 1.2.3 Submission of the template to the *JFSC* will prompt the checks to run. If all checks re passed, the submission will be accepted, this fact communicated to the *JIB* together with a list of any warnings.
- 1.3 In the tables below, both the item (in bold) and the Excel Location (Sheet and Cell reference) are provided.
- 1.4 When a test is failed, the message received will be as described herein.
- 1.5 The '**Check**' is a unique identifier for each test, provided within the message to enable the recipient to match the error message to this guidance.

2 Data input validation

Validation of cells where data entry expected or that should be left blank

- 2.1 The table in this Section outlines the tests performed on cells where data entry is expected or that should be left blank (and are locked in the Excel workbook).

Check	Sheet	Item	Column	Cell Ref	Validation Message
1	9.10 Directors Interests	Dir.1	Residence	F3	Input must be 2 digit country ISO code
2	9.10 Directors Interests	Dir.1	Shares in Parent	G3	Input must be a whole number in the range 0 and 999,999,999
3	9.10 Directors Interests	Dir.1	Shares in Bank	H3	Input must be a whole number in the range 0 and 999,999,999
4	9.10 Directors Interests	Dir.1	Contract	I3	Input must be Y , N or left blank
5	9.10 Directors Interests	Dir.1	Amount of Loans	J3	Input must be a whole number in the range 0 and 999,999,999
6	9.10 Directors Interests	Dir.2	Residence	F4	Input must be 2 digit country ISO code
7	9.10 Directors Interests	Dir.2	Shares in Parent	G4	Input must be a whole number in the range 0 and 999,999,999
8	9.10 Directors Interests	Dir.2	Shares in Bank	H4	Input must be a whole number in the range 0 and 999,999,999
9	9.10 Directors Interests	Dir.2	Contract	I4	Input must be Y , N or left blank
10	9.10 Directors Interests	Dir.2	Amount of Loans	J4	Input must be a whole number in the range 0 and 999,999,999
11	9.10 Directors Interests	Dir.3	Residence	F5	Input must be 2 digit country ISO code
12	9.10 Directors Interests	Dir.3	Shares in Parent	G5	Input must be a whole number in the range 0 and 999,999,999
13	9.10 Directors Interests	Dir.3	Shares in Bank	H5	Input must be a whole number in the range 0 and 999,999,999
14	9.10 Directors Interests	Dir.3	Contract	I5	Input must be Y , N or left blank

Check	Sheet	Item	Column	Cell Ref	Validation Message
15	9.10 Directors Interests	Dir.3	Amount of Loans	J5	Input must be a whole number in the range 0 and 999,999,999
16	9.10 Directors Interests	Dir.4	Residence	F6	Input must be 2 digit country ISO code
17	9.10 Directors Interests	Dir.4	Shares in Parent	G6	Input must be a whole number in the range 0 and 999,999,999
18	9.10 Directors Interests	Dir.4	Shares in Bank	H6	Input must be a whole number in the range 0 and 999,999,999
19	9.10 Directors Interests	Dir.4	Contract	I6	Input must be Y , N or left blank
20	9.10 Directors Interests	Dir.4	Amount of Loans	J6	Input must be a whole number in the range 0 and 999,999,999
21	9.10 Directors Interests	Dir.5	Residence	F7	Input must be 2 digit country ISO code
22	9.10 Directors Interests	Dir.5	Shares in Parent	G7	Input must be a whole number in the range 0 and 999,999,999
23	9.10 Directors Interests	Dir.5	Shares in Bank	H7	Input must be a whole number in the range 0 and 999,999,999
24	9.10 Directors Interests	Dir.5	Contract	I7	Input must be Y , N or left blank
25	9.10 Directors Interests	Dir.5	Amount of Loans	J7	Input must be a whole number in the range 0 and 999,999,999
26	9.10 Directors Interests	Dir.6	Residence	F8	Input must be 2 digit country ISO code
27	9.10 Directors Interests	Dir.6	Shares in Parent	G8	Input must be a whole number in the range 0 and 999,999,999
28	9.10 Directors Interests	Dir.6	Shares in Bank	H8	Input must be a whole number in the range 0 and 999,999,999
29	9.10 Directors Interests	Dir.6	Contract	I8	Input must be Y , N or left blank
30	9.10 Directors Interests	Dir.6	Amount of Loans	J8	Input must be a whole number in the range 0 and 999,999,999
31	9.10 Directors Interests	Dir.7	Residence	F9	Input must be 2 digit country ISO code
32	9.10 Directors Interests	Dir.7	Shares in Parent	G9	Input must be a whole number in the range 0 and 999,999,999

Check	Sheet	Item	Column	Cell Ref	Validation Message
33	9.10 Directors Interests	Dir.7	Shares in Bank	H9	Input must be a whole number in the range 0 and 999,999,999
34	9.10 Directors Interests	Dir.7	Contract	I9	Input must be Y , N or left blank
35	9.10 Directors Interests	Dir.7	Amount of Loans	J9	Input must be a whole number in the range 0 and 999,999,999
36	9.10 Directors Interests	Dir.8	Residence	F10	Input must be 2 digit country ISO code
37	9.10 Directors Interests	Dir.8	Shares in Parent	G10	Input must be a whole number in the range 0 and 999,999,999
38	9.10 Directors Interests	Dir.8	Shares in Bank	H10	Input must be a whole number in the range 0 and 999,999,999
39	9.10 Directors Interests	Dir.8	Contract	I10	Input must be Y , N or left blank
40	9.10 Directors Interests	Dir.8	Amount of Loans	J10	Input must be a whole number in the range 0 and 999,999,999
41	9.10 Directors Interests	Dir.9	Residence	F11	Input must be 2 digit country ISO code
42	9.10 Directors Interests	Dir.9	Shares in Parent	G11	Input must be a whole number in the range 0 and 999,999,999
43	9.10 Directors Interests	Dir.9	Shares in Bank	H11	Input must be a whole number in the range 0 and 999,999,999
44	9.10 Directors Interests	Dir.9	Contract	I11	Input must be Y , N or left blank
45	9.10 Directors Interests	Dir.9	Amount of Loans	J11	Input must be a whole number in the range 0 and 999,999,999
46	9.10 Directors Interests	Dir.10	Residence	F12	Input must be 2 digit country ISO code
47	9.10 Directors Interests	Dir.10	Shares in Parent	G12	Input must be a whole number in the range 0 and 999,999,999
48	9.10 Directors Interests	Dir.10	Shares in Bank	H12	Input must be a whole number in the range 0 and 999,999,999
49	9.10 Directors Interests	Dir.10	Contract	I12	Input must be Y , N or left blank
50	9.10 Directors Interests	Dir.10	Amount of Loans	J12	Input must be a whole number in the range 0 and 999,999,999

Check	Sheet	Item	Column	Cell Ref	Validation Message
51	9.10 Directors Interests	Dir.11	Residence	F13	Input must be 2 digit country ISO code
52	9.10 Directors Interests	Dir.11	Shares in Parent	G13	Input must be a whole number in the range 0 and 999,999,999
53	9.10 Directors Interests	Dir.11	Shares in Bank	H13	Input must be a whole number in the range 0 and 999,999,999
54	9.10 Directors Interests	Dir.11	Contract	I13	Input must be Y , N or left blank
55	9.10 Directors Interests	Dir.11	Amount of Loans	J13	Input must be a whole number in the range 0 and 999,999,999
56	9.10 Directors Interests	Dir.12	Residence	F14	Input must be 2 digit country ISO code
57	9.10 Directors Interests	Dir.12	Shares in Parent	G14	Input must be a whole number in the range 0 and 999,999,999
58	9.10 Directors Interests	Dir.12	Shares in Bank	H14	Input must be a whole number in the range 0 and 999,999,999
59	9.10 Directors Interests	Dir.12	Contract	I14	Input must be Y , N or left blank
60	9.10 Directors Interests	Dir.12	Amount of Loans	J14	Input must be a whole number in the range 0 and 999,999,999
61	9.10 Directors Interests	Dir.13	Residence	F15	Input must be 2 digit country ISO code
62	9.10 Directors Interests	Dir.13	Shares in Parent	G15	Input must be a whole number in the range 0 and 999,999,999
63	9.10 Directors Interests	Dir.13	Shares in Bank	H15	Input must be a whole number in the range 0 and 999,999,999
64	9.10 Directors Interests	Dir.13	Contract	I15	Input must be Y , N or left blank
65	9.10 Directors Interests	Dir.13	Amount of Loans	J15	Input must be a whole number in the range 0 and 999,999,999
66	9.10 Directors Interests	Dir.14	Residence	F16	Input must be 2 digit country ISO code
67	9.10 Directors Interests	Dir.14	Shares in Parent	G16	Input must be a whole number in the range 0 and 999,999,999
68	9.10 Directors Interests	Dir.14	Shares in Bank	H16	Input must be a whole number in the range 0 and 999,999,999

Check	Sheet	Item	Column	Cell Ref	Validation Message
69	9.10 Directors Interests	Dir.14	Contract	I16	Input must be Y , N or left blank
70	9.10 Directors Interests	Dir.14	Amount of Loans	J16	Input must be a whole number in the range 0 and 999,999,999
71	9.10 Directors Interests	Dir.15	Residence	F17	Input must be 2 digit country ISO code
72	9.10 Directors Interests	Dir.15	Shares in Parent	G17	Input must be a whole number in the range 0 and 999,999,999
73	9.10 Directors Interests	Dir.15	Shares in Bank	H17	Input must be a whole number in the range 0 and 999,999,999
74	9.10 Directors Interests	Dir.15	Contract	I17	Input must be Y , N or left blank
75	9.10 Directors Interests	Dir.15	Amount of Loans	J17	Input must be a whole number in the range 0 and 999,999,999
76	9.10 Directors Interests	Dir.16	Residence	F18	Input must be 2 digit country ISO code
77	9.10 Directors Interests	Dir.16	Shares in Parent	G18	Input must be a whole number in the range 0 and 999,999,999
78	9.10 Directors Interests	Dir.16	Shares in Bank	H18	Input must be a whole number in the range 0 and 999,999,999
79	9.10 Directors Interests	Dir.16	Contract	I18	Input must be Y , N or left blank
80	9.10 Directors Interests	Dir.16	Amount of Loans	J18	Input must be a whole number in the range 0 and 999,999,999
81	9.10 Directors Interests	Dir.17	Residence	F19	Input must be 2 digit country ISO code
82	9.10 Directors Interests	Dir.17	Shares in Parent	G19	Input must be a whole number in the range 0 and 999,999,999
83	9.10 Directors Interests	Dir.17	Shares in Bank	H19	Input must be a whole number in the range 0 and 999,999,999
84	9.10 Directors Interests	Dir.17	Contract	I19	Input must be Y , N or left blank
85	9.10 Directors Interests	Dir.17	Amount of Loans	J19	Input must be a whole number in the range 0 and 999,999,999
86	9.10 Directors Interests	Dir.18	Residence	F20	Input must be 2 digit country ISO code

Check	Sheet	Item	Column	Cell Ref	Validation Message
87	9.10 Directors Interests	Dir.18	Shares in Parent	G20	Input must be a whole number in the range 0 and 999,999,999
88	9.10 Directors Interests	Dir.18	Shares in Bank	H20	Input must be a whole number in the range 0 and 999,999,999
89	9.10 Directors Interests	Dir.18	Contract	I20	Input must be Y , N or left blank
90	9.10 Directors Interests	Dir.18	Amount of Loans	J20	Input must be a whole number in the range 0 and 999,999,999
91	9.10 Directors Interests	Dir.19	Residence	F21	Input must be 2 digit country ISO code
92	9.10 Directors Interests	Dir.19	Shares in Parent	G21	Input must be a whole number in the range 0 and 999,999,999
93	9.10 Directors Interests	Dir.19	Shares in Bank	H21	Input must be a whole number in the range 0 and 999,999,999
94	9.10 Directors Interests	Dir.19	Contract	I21	Input must be Y , N or left blank
95	9.10 Directors Interests	Dir.19	Amount of Loans	J21	Input must be a whole number in the range 0 and 999,999,999
96	9.10 Directors Interests	Dir.20	Residence	F22	Input must be 2 digit country ISO code
97	9.10 Directors Interests	Dir.20	Shares in Parent	G22	Input must be a whole number in the range 0 and 999,999,999
98	9.10 Directors Interests	Dir.20	Shares in Bank	H22	Input must be a whole number in the range 0 and 999,999,999
99	9.10 Directors Interests	Dir.20	Contract	I22	Input must be Y , N or left blank
100	9.10 Directors Interests	Dir.20	Amount of Loans	J22	Input must be a whole number in the range 0 and 999,999,999