

Guidance Note

Validation and verification of the '2.3 BS Liabilities' Sheet

Relevant to the JFSC's prudential reporting requirements of JIBs

Issued: January 2019

1 Overview

General points

- 1.1 The validation and verification checks performed on submission are set out herein.
- 1.2 Excel data validation in the template is limited as follows:
 - 1.2.1 For monetary amounts, cells have been restricted so that only integers in the range -1,000,000,000 to +1,000,000,000 can be entered, unless otherwise noted. As the unit is £1,000, this means that values of +/- £1 trillion are permitted.
 - 1.2.2 Excel only checks validation on direct input and/or if manually requested so it should not be considered to be a failsafe – *JIBs* should check their data and the *JFSC* will check the data on submission.
 - 1.2.3 Submission of the template to the *JFSC* will prompt the checks to run. If all checks re passed, the submission will be accepted, this fact communicated to the *JIB* together with a list of any warnings.
- 1.3 In the tables below, both the item (in bold) and the Excel Location (Sheet and Cell reference) are provided.
- 1.4 When a test is failed, the message received will be as described herein.
- 1.5 The '**Check**' is a unique identifier for each test, provided within the message to enable the recipient to match the error message to this guidance.

2 Data input validation

Validation of cells where data entry expected or that should be left blank

- 2.1 The table in this Section outlines the tests performed on cells where data entry is expected or that should be left blank (and are locked in the Excel workbook). Calculated fields are addressed in Section 3.

Check	Sheet	Item	Column	Cell Ref	Validation Message
1	2.3 BS Liabilities	A.1	Volume	C3	Input must be a whole number in the range -1,000,000,000 to +1,000,000,000
2	2.3 BS Liabilities	A.2	Volume	C4	Input must be a whole number in the range -1,000,000,000 to +1,000,000,000
3	2.3 BS Liabilities	A.3	Volume	C5	Input must be a whole number in the range -1,000,000,000 to +1,000,000,000
4	2.3 BS Liabilities	A.4	Volume	C6	Input must be a whole number in the range -1,000,000,000 to +1,000,000,000
5	2.3 BS Liabilities	A.7	Volume	C13	Input must be a whole number in the range -1,000,000,000 to +1,000,000,000
6	2.3 BS Liabilities	B.1	Volume	C15	Input must be a whole number in the range -1,000,000,000 to +1,000,000,000
7	2.3 BS Liabilities	B.2	Volume	C16	Input must be a whole number in the range -1,000,000,000 to +1,000,000,000
8	2.3 BS Liabilities	B.3	Volume	C17	Input must be a whole number in the range -1,000,000,000 to +1,000,000,000
9	2.3 BS Liabilities	C.1	Volume	C20	Input must be a whole number in the range -1,000,000,000 to +1,000,000,000
10	2.3 BS Liabilities	C.2	Volume	C21	Input must be a whole number in the range -1,000,000,000 to +1,000,000,000
11	2.3 BS Liabilities	C.3	Volume	C22	Input must be a whole number in the range -1,000,000,000 to +1,000,000,000
12	2.3 BS Liabilities	C.4	Volume	C23	Input must be a whole number in the range -1,000,000,000 to +1,000,000,000
13	2.3 BS Liabilities	C.5	Volume	C24	Input must be a whole number in the range -1,000,000,000 to +1,000,000,000
14	2.3 BS Liabilities	C.6	Volume	C25	Input must be a whole number in the range -1,000,000,000 to +1,000,000,000

Check	Sheet	Item	Column	Cell Ref	Validation Message
15	2.3 BS Liabilities	C.7.1	Volume	C26	Input must be a whole number in the range -1,000,000,000 to +1,000,000,000
16	2.3 BS Liabilities	C.7.2	Volume	C27	Input must be a whole number in the range -1,000,000,000 to +1,000,000,000
17	2.3 BS Liabilities	C.7.3	Volume	C28	Input must be a whole number in the range -1,000,000,000 to +1,000,000,000
18	2.3 BS Liabilities	C.7.4	Volume	C29	Input must be a whole number in the range -1,000,000,000 to +1,000,000,000
19	2.3 BS Liabilities	D.4	Volume	C35	Input must be a whole number in the range -1,000,000,000 to +1,000,000,000

3 Calculation checks

Validation of cells where there is a calculation

3.1 The tables in this Section outline the tests performed on cells where the Excel workbook contains calculations.

Check	Sheet	Item	Column	Cell Ref	Excel
20	2.3 BS Liabilities	A.5.1	Volume	C7	= SUM('1.2 LCR-LMR'!C3:C6)
21	2.3 BS Liabilities	A.5.2	Volume	C8	=SUM('1.2 LCR-LMR'!C7:C10)
22	2.3 BS Liabilities	A.5	Volume	C9	=SUM(C7:C8)
23	2.3 BS Liabilities	A.6.1	Volume	C10	=SUM('1.2 LCR-LMR'!C15:C18)
24	2.3 BS Liabilities	A.6.2	Volume	C11	=SUM('1.2 LCR-LMR'!C20:C21)
25	2.3 BS Liabilities	A.6	Volume	C12	=SUM(C10:C11)
26	2.3 BS Liabilities	A.0	Volume	C14	=SUM(C3:C6,C9,C12:C13)
27	2.3 BS Liabilities	B.4	Volume	C18	=SUM('1.2 LCR-LMR'!C11:C13)
28	2.3 BS Liabilities	B.0	Volume	C19	=SUM(C15:C18)
29	2.3 BS Liabilities	C.7	Volume	C30	=SUM(C26:C29)
30	2.3 BS Liabilities	C.0	Volume	C31	=SUM(C20:C25,C30)
31	2.3 BS Liabilities	D.1	Volume	C32	= '6.1 Capital Adequacy'!C7
32	2.3 BS Liabilities	D.2	Volume	C33	= '6.1 Capital Adequacy'!C35
33	2.3 BS Liabilities	D.3	Volume	C34	= '6.1 Capital Adequacy'!C49
34	2.3 BS Liabilities	D.0	Volume	C36	=SUM(C32:C35)

Check	Sheet	Item	Column	Cell Ref	Excel
35	2.3 BS Liabilities	E.0	Volume	C37	=C14+C19+C31+C36