

# Guidance Note

## Validation and verification of the '8.1 Asset Quality & Provisions' Sheet

Relevant to the JFSC's prudential reporting requirements of OIBs

Issued: September 2019

# 1 Overview

## General points

- 1.1 The validation and verification checks performed on submission are set out herein.
- 1.2 Excel data validation in the template is limited as follows:
  - 1.2.1 For monetary amounts, cells have been restricted so that only integers in the range -1,000,000,000 to +1,000,000,000 can be entered, unless otherwise noted. As the unit is £1,000, this means that values of +/- £1 trillion are permitted.
  - 1.2.2 Excel only checks validation on direct input and/or if manually requested so it should not be considered to be a failsafe – *OIBs* should check their data and the *JFSC* will check the data on submission.
  - 1.2.3 Submission of the template to the *JFSC* will prompt the checks to run. If all checks re passed, the submission will be accepted, this fact communicated to the *OIB* together with a list of any warnings.
- 1.3 In the tables below, both the item (in bold) and the Excel Location (Sheet and Cell reference) are provided.
- 1.4 When a test is failed, the message received will be as described herein.
- 1.5 The '**Check**' is a unique identifier for each test, provided within the message to enable the recipient to match the error message to this guidance.

## 2 Data input validation

### Validation of cells where data entry expected or that should be left blank

2.1 The table in this Section outlines the tests performed on cells where data entry is expected or that should be left blank (and are locked in the Excel workbook). Calculated fields are addressed in Section 3.

Check	Sheet	Item	Column	Cell Ref	Validation Message
1	8.1 Asset Quality & Provisions	A.1	Specific	C3	Input must be a whole number in the range -1,000,000,000 to +1,000,000,000
2	8.1 Asset Quality & Provisions	A.1	General	D3	Input must be a whole number in the range -1,000,000,000 to +1,000,000,000
3	8.1 Asset Quality & Provisions	A.2	Specific	C4	Input must be a whole number in the range -1,000,000,000 to +1,000,000,000
4	8.1 Asset Quality & Provisions	A.2	General	D4	Input must be a whole number in the range -1,000,000,000 to +1,000,000,000
5	8.1 Asset Quality & Provisions	A.3	Specific	C5	Input must be a whole number in the range -1,000,000,000 to +1,000,000,000
6	8.1 Asset Quality & Provisions	A.3	General	D5	Input must be a whole number in the range -1,000,000,000 to +1,000,000,000
7	8.1 Asset Quality & Provisions	A.4	Specific	C6	Input must be a whole number in the range -1,000,000,000 to +1,000,000,000
8	8.1 Asset Quality & Provisions	A.4	General	D6	Must be blank
9	8.1 Asset Quality & Provisions	A.5	Specific	C7	Input must be a whole number in the range -1,000,000,000 to +1,000,000,000
10	8.1 Asset Quality & Provisions	A.5	General	D7	Must be blank
11	8.1 Asset Quality & Provisions	A.7	Specific	C9	Input must be a whole number in the range -1,000,000,000 to +1,000,000,000
12	8.1 Asset Quality & Provisions	A.7	General	D9	Must be blank
13	8.1 Asset Quality & Provisions	A.8	Specific	C10	Input must be a whole number in the range -1,000,000,000 to +1,000,000,000

Check	Sheet	Item	Column	Cell Ref	Validation Message
14	8.1 Asset Quality & Provisions	A.8	General	D10	Must be blank
15	8.1 Asset Quality & Provisions	A.9.1	Specific	C11	Input must be a whole number in the range -1,000,000,000 to +1,000,000,000
16	8.1 Asset Quality & Provisions	A.9.1	General	D11	Must be blank
17	8.1 Asset Quality & Provisions	A.9.2	Specific	C12	Input must be a whole number in the range -1,000,000,000 to +1,000,000,000
18	8.1 Asset Quality & Provisions	A.9.2	General	D12	Must be blank
19	8.1 Asset Quality & Provisions	A.9.3	Specific	C13	Input must be a whole number in the range -1,000,000,000 to +1,000,000,000
20	8.1 Asset Quality & Provisions	A.9.3	General	D13	Must be blank
21	8.1 Asset Quality & Provisions	A.9.4	Specific	C14	Input must be a whole number in the range -1,000,000,000 to +1,000,000,000
22	8.1 Asset Quality & Provisions	A.9.4	General	D14	Must be blank
23	8.1 Asset Quality & Provisions	A.9.5	Specific	C15	Input must be a whole number in the range -1,000,000,000 to +1,000,000,000
24	8.1 Asset Quality & Provisions	A.9.5	General	D15	Must be blank
25	8.1 Asset Quality & Provisions	A.9.6	Specific	C16	Input must be a whole number in the range -1,000,000,000 to +1,000,000,000
26	8.1 Asset Quality & Provisions	A.9.6	General	D16	Must be blank
27	8.1 Asset Quality & Provisions	A.10.1	Specific	C17	Input must be a whole number in the range -1,000,000,000 to +1,000,000,000
28	8.1 Asset Quality & Provisions	A.10.1	General	D17	Must be blank
29	8.1 Asset Quality & Provisions	A.10.2	Specific	C18	Input must be a whole number in the range -1,000,000,000 to +1,000,000,000
30	8.1 Asset Quality & Provisions	A.10.2	General	D18	Must be blank

Check	Sheet	Item	Column	Cell Ref	Validation Message
31	8.1 Asset Quality & Provisions	A.10.3	Specific	C19	Input must be a whole number in the range -1,000,000,000 to +1,000,000,000
32	8.1 Asset Quality & Provisions	A.10.3	General	D19	Must be blank
33	8.1 Asset Quality & Provisions	A.10.4	Specific	C20	Input must be a whole number in the range -1,000,000,000 to +1,000,000,000
34	8.1 Asset Quality & Provisions	A.10.4	General	D20	Must be blank

### 3 Calculation checks

#### Validation of cells where there is a calculation

3.1 The tables in this Section outline the tests performed on cells where the Excel workbook contains calculations.

Check	Sheet	Item	Column	Cell Ref	Excel
35	8.1 Asset Quality & Provisions	A.6	Specific	C8	=C3+C4-C5-C6+C7
36	8.1 Asset Quality & Provisions	A.6	General	D8	=D3+D4-D5